Logics for functional, higher-order languages

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Which program logics
for functional languages?

No, if the functions that can be defined in the language are also functions of the ambient logic:

- total functions (no divergence, no errors)
- without effects (no imperative features).

Do we need a program logic for a functional language?

No, if the functions that can be defined in the language are also functions of the ambient logic:

- total functions (no divergence, no errors)
- without effects (no imperative features).

Example: functions definable in Coq or in Agda are objects of the ambient logic (type theory).

In this case, propositions and proofs from the ambient logic work just as well as Hoare triples:

$$\forall x, P\,x \Rightarrow Q\,x\,(f\,x) \text{ instead of } \{\,P\,\}\,f\,x\,\{\,Q\,\}$$

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- run-time errors;
- mutable state, input/output;
- exceptions, continuations, algebraic effects, …

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We can reason "manually" on effectful functional programs, typically via a monadic translation back to a pure functional language.

However, an appropriate program logic provides higher-level, more convenient tools for specification and verification.

We can represent an imperative computation in Coq as a state transformer: a pure function

$$\text{state ``before''} \rightarrow \text{value} \times \text{state ``after''}$$

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Stating and proving properties of these computations is painful:

```
forall x s, valid x s ->
let (y, s') := f x s in
~valid y s /\ valid y s' /\ s' x = 0 /\ s' y = s x /\
(forall l, l <> x -> l <> y -> s' l = s l).
```

Example: reasoning about mutable state

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In separation logic, it suffices to write

$$\forall x, \{\, x \mapsto n \,\} \, f \, x \, \{\, \lambda y. \, x \mapsto 0 * y \mapsto n \,\}$$

Two courses of action in this lecture:

- How can we extend Hoare logic and separation logic to deal with functions, including higher-order functions and functions as first-class values?
  Example: Iris.

- How can we use higher-order functions and dependent types to express program logics?
  Examples: F*, CFML.

First-order procedures and functions in Hoare logic and in separation logic

Procedures in Hoare logic

An early extension of Hoare's original logic.

A practical motivation: verifying Quicksort. (Foley and Hoare, 1971)

A principle of modular reasoning:

Procedures support reusing code in several call contexts.
Can we reuse the verification of this code? (instead of re-verifying it at each call context)

Clarifying the semantics of procedures: variable bindings, parameter passing mechanisms, etc.

Hoare logic rules for procedures are complicated, because they must control mutations over variables.

We follow Parkinson, Bornat and Calcagno (2006):

- First, we add procedures and functions to the PTR language (where variables are immutable but can be references to mutable memory cells), and give them separation logic rules.

Commands: $c ::= \ldots$

$\qquad | \ \texttt{let} \ f \ (\vec{x}) = c \ \texttt{in} \ c'$ function definition

$\qquad | \ f \ (\vec{a})$ function call

These are imperative functions, in the style of C or ML:
they can modify the state before returning a value.

Functions in PTR

Commands: $c ::= \ldots$

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$\quad | f\ (\vec{a}) \quad\quad\quad\quad\quad$ function call

These are imperative functions, in the style of C or ML:
they can modify the state before returning a value.

Example: the *minmaxplus* function.

$$\text{let } minmaxplus\ (x, y, m, M) =$$
$$\quad \text{if } x < y \text{ then } (\text{set}(m, x); \text{set}(M, y))$$
$$\quad\quad\quad\quad \text{else } (\text{set}(m, y); \text{set}(M, x));$$
$$\quad x + y$$

Specification of the form $\{ P \} \, f \, (\vec{x}) \, \{ Q \}$ where P and Q are separation logic assertions.

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$$\{\, m \mapsto \_ \mathbin{*} M \mapsto \_ \,\}$$
$$\textit{minmaxplus}\, (x, y, m, M)$$
$$\{\, \lambda v.\langle v = x + y \rangle \mathbin{*} m \mapsto \min(x, y) \mathbin{*} M \mapsto \max(x, y) \,\}$$

Specifying a function

Specification of the form $\{P\}\, f\,(\vec{x})\, \{Q\}$ where P and Q are separation logic assertions.

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$$minmaxplus\,(x, y, m, M)$$
$$\{\lambda v.\langle v = x + y\rangle \ast m \mapsto \min(x, y) \ast M \mapsto \max(x, y)\}$$

Example: a function *incr(d)* that adds d to a global counter c and return the previous value of c.

$$\forall \alpha,\ \{c \mapsto \alpha\}\ incr\,(d)\ \{\lambda v.\ \langle v = \alpha\rangle \ast c \mapsto \alpha + d\}$$

A context Γ = a set of function specifications.

Function calls:

$$\frac{(\{ P \} f (\vec{x}) \{ Q \}) \in \Gamma}{\Gamma \vdash \{ P[\vec{x} \leftarrow [\![\vec{a}]\!]] \} f (\vec{a}) \{ Q[\vec{x} \leftarrow [\![\vec{a}]\!]] \}}$$

Rules for functions

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Function definitions:

$$\frac{\begin{array}{c} \Gamma' = \Gamma,\, \{P\}\, f\, (\vec{x})\, \{Q\} \\ \forall \vec{x},\, \Gamma' \vdash \{P\}\, c\, \{Q\} \\ \Gamma' \vdash \{P'\}\, c'\, \{Q'\} \end{array}}{\Gamma \vdash \{P'\}\, \texttt{let}\, f\, (\vec{x}) = c\, \texttt{in}\, c'\, \{Q'\}}$$

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Using the specification $\{\, x \mapsto \_ \,\}$ *slowset* (x, n) $\{\, x \mapsto n \,\}$

```
let slowset (x, n) =
    if n = 0 then
        set(x, 0)
    else
        slowset (x, n − 1);
        let v = get(x) in set(v, x + 1)
in
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      slowset$(a, 2)$;
      slowset$(b, 3)$

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$\{\, x \mapsto \_ \,\}$

$\{\, x \mapsto 0 \,\}$

$\{\, x \mapsto n − 1 \,\}$
$\{\, x \mapsto n \,\}$

$\{\, a \mapsto \_ \ast b \mapsto \_ \,\}$

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in
                                            { a ↦ _ ✱ b ↦ _ }
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```

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An example of verification

Using the specification $\{\, x \mapsto \_ \,\}$ *slowset* (x, n) $\{\, x \mapsto n \,\}$

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in
                                                  { a ↦ _ ✳ b ↦ _ }
    slowset(a, 2);                                { a ↦ 2 ✳ b ↦ _ }
    slowset(b, 3)                                 { a ↦ 2 ✳ b ↦ 3 }
```

Functions as first-class values in separation logic

Expressions: $a ::= \dots$
                      $\mid \texttt{rec}\ f\ x = c$ function abstraction

Commands: $c ::= a \mid \dots$
                      $\mid a_1\ a_2$ function application

A nonrecursive function $\lambda x.\ c$ is handled as a recursive function $\texttt{rec}\ f\ x = c$ with f not free in c.

PTR with first-class functions

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A nonrecursive function $\lambda x.\ c$ is handled as a recursive function $\mathtt{rec}\ f\ x = c$ with f not free in c.

Semantics: the familiar β-reduction rule.

$$(\mathtt{rec}\ f\ x = c)\ a/h \ \to \ c[x \leftarrow \llbracket a \rrbracket, f \leftarrow \mathtt{rec}\ f\ x = c]/h$$

Assertions, preconditions:
$$P ::= \langle A \rangle \mid \texttt{emp} \mid \ell \mapsto v \mid P_1 * P_2 \mid \ldots$$
$$\mid \{ P \} c \{ Q \} \qquad \text{Hoare triple}$$

Postconditions:
$$Q ::= \lambda v.\ P$$

Hoare triples as assertions

Assertions, preconditions:

$$P ::= \langle A \rangle \mid \text{emp} \mid \ell \mapsto v \mid P_1 * P_2 \mid \dots$$
$$\mid \{\, P \,\} \, c \, \{\, Q \,\} \qquad \qquad \text{Hoare triple}$$

Postconditions:

$$Q ::= \lambda v.\, P$$

Triple assertions can be duplicated:

$$\{\, P \,\} \, c \, \{\, Q \,\} = \{\, P \,\} \, c \, \{\, Q \,\} * \{\, P \,\} \, c \, \{\, Q \,\}$$

Recursive abstraction:

$$\forall v, \{ P \} \, (\texttt{rec} \, f \, x = c) \, v \, \{ Q \} \Rightarrow$$
$$\forall v, \{ P \} \, c[x \leftarrow v, f \leftarrow \texttt{rec} \, f \, x = c] \, \{ Q \}$$
$$\rule{8cm}{0.4pt}$$
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Rules for functions

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Nonrecursive abstraction (derived rule):

$$\{\, P \,\}\, c[x \leftarrow v]\, \{\, Q \,\}$$

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$$\frac{(\forall v, \{\, \texttt{emp} \,\}\; f\; v\; \{\, Q \,\}) \Rightarrow \{\, \texttt{emp} \,\}\; f\; 0\; \{\, Q \,\}}{\{\, \forall v, \{\, \texttt{emp} \,\}\; f\; v\; \{\, Q \,\} \,\}\; app\; f\; \{\, Q \,\}}$$

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```
class Counter {
    private int val;
    Counter() { val = 0 }
    int curr() { return val; }
    void incr() { val += 1; }
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An implementation in PTR:

$$\text{let } counter = \lambda_-.$$
$$\text{let } val = \texttt{alloc}(1) \text{ in}$$
$$mkpair \, (\lambda_-. \, \texttt{get}(val))$$
$$(\lambda_-. \, \texttt{let } n = \texttt{get}(val) \text{ in } \texttt{set}(val, n+1))$$

Representing an object with an internal state

```
class Counter {
    private int val;
    Counter() { val = 0 }
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}
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An implementation in PTR:

let *mkpair* $= \lambda x.\ \lambda y.$
    let $p = \text{alloc}(2)$ in $\text{set}(p, x); \text{set}(p+1, y); p$ in
let *counter* $= \lambda\_.$
    let *val* $= \text{alloc}(1)$ in
    mkpair $(\lambda\_.\ \text{get}(val))$
        $(\lambda\_.\ \text{let}\ n = \text{get}(val)\ \text{in}\ \text{set}(val, n+1))$

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Representation predicate

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$$\forall v, \{\, P \,\} \,(\texttt{rec}\, f\, x = c)\, v \,\{\, Q \,\} \Rightarrow$$
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Following our usual semantic approach, to prove the conclusion, we study the reductions of the command:

$$(\texttt{rec}\,f\,x = c)\,v/h \rightarrow c[x \leftarrow v, f \leftarrow \texttt{rec}\,f\,x = c]$$

Semantic soundness of the rule for recursion

$$\forall v, \{\, P \,\} \, (\texttt{rec} \, f \, x = c) \, v \, \{\, Q \,\} \Rightarrow$$
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Following our usual semantic approach, to prove the conclusion, we study the reductions of the command:

$$(\texttt{rec} \, f \, x = c) \, v/h \rightarrow c[x \leftarrow v, f \leftarrow \texttt{rec} \, f \, x = c]$$

The premise gives us a semantic triple for
$c[x \leftarrow v, f \leftarrow \texttt{rec} \, f \, x = c]$, but only if we have already proved

$$\forall v, \{\, P \,\} \, (\texttt{rec} \, f \, x = c) \, v \, \{\, Q \,\}$$

that is, the desired result! This is circular reasoning!

Idea: in the definition of the semantic Hoare triple

$$\{\!\{\, P \,\}\!\}\ c\ \{\!\{\, Q \,\}\!\} = \forall n,\ h,\ P\,h \Rightarrow \texttt{Term}\ c\ h\ Q$$

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a function call within c consumes one reduction step. Therefore, the function being called needs to be safe for $n - 1$ steps at most.

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$$\{\!\{ P \}\!\} \; c \; \{\!\{ Q \}\!\} = \forall n, \; h, \; P \, h \Rightarrow \boxed{\texttt{Safe}^n} \, c \, h \, Q$$

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Step-indexing to the rescue

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a function call within c consumes one reduction step. Therefore, the function being called needs to be safe for $n - 1$ steps at most.

Consequently, Hoare triples appearing in precondition P only need to be true "at depth $n - 1$", not absolutely true.

An implementation of this idea: we index assertions by a step count n. For the usual assertions, this count is ignored:

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$$(\{P\} \, c \, \{Q\}) \, h \, (n+1) = Dom(h) = \emptyset \wedge \forall h', \, P \, h' \, n \Rightarrow \texttt{Safe}^{n+1} \, c \, h' \, Q$$

Step-indexing to the rescue

An implementation of this idea: we index assertions by a step count n. For the usual assertions, this count is ignored:

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The semantic triple, then, becomes

$$\{\!\{P\}\!\} \, c \, \{\!\{Q\}\!\} = \forall n > 0, \forall h, \, P \, h \, (n-1) \Rightarrow \mathtt{Safe}^n \, c \, h \, Q$$

CFML: reasoning about ML programs using characteristic formulas

The characteristic formula $[\![t]\!]$ of a term t is its weakest precondition calculus: $[\![t]\!]\ Q = wp(t, Q)$.

$$[\![t]\!] : \underbrace{(\lceil \tau \rceil \to \texttt{Prop})}_{\text{postcondition}} \to \underbrace{\texttt{Prop}}_{\text{precondition}} \qquad \text{if } t : \tau$$

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Some representative cases:

$$[\![v]\!] =$$
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Characteristic formulas for pure programs

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$$\llbracket v \rrbracket = \qquad\qquad \llbracket f\ v \rrbracket = \qquad\qquad\qquad \llbracket \texttt{fail} \rrbracket =$$

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$$\llbracket \texttt{if}\ v\ \texttt{then}\ t_1\ \texttt{else}\ t_2 \rrbracket =$$

The actual definition uses combinators to reflect the program structure in the characteristic formula:

$$\llbracket v \rrbracket = \mathtt{Ret}\ \lceil v \rceil \qquad \llbracket f\ v \rrbracket = \qquad\qquad \llbracket \mathtt{fail} \rrbracket =$$

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The actual definition uses combinators to reflect the program structure in the characteristic formula:

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$$\llbracket \texttt{let } x = t \texttt{ in } t' \rrbracket = \texttt{Let } x = \llbracket t \rrbracket \texttt{ In } \llbracket t' \rrbracket$$

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$$\llbracket v \rrbracket = \mathtt{Ret} \ \lceil v \rceil \qquad \llbracket f \ v \rrbracket = \mathtt{App} \ \lceil f \rceil \ \lceil v \rceil \qquad \llbracket \mathtt{fail} \rrbracket = \mathtt{Fail}$$

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The actual definition uses combinators to reflect the program structure in the characteristic formula:

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let rec half x =
  if x = 0 then 0 else if x = 1 then fail
  else let y = half (x - 2) in y + 1
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$$\text{If } x = 0 \text{ Then Ret } 0 \text{ Else If } x = 1 \text{ Then Fail}$$
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The body of function half becomes

> If $x = 0$ Then Ret 0 Else If $x = 1$ Then Fail
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that is,

$$\lambda Q. \ (x = 0 \Rightarrow Q\ 0) \wedge (x \neq 0 \Rightarrow$$
$$(((x = 1) \Rightarrow \bot) \wedge (x \neq 1 \Rightarrow$$
$$\exists R, \ AppReturns\ half\ (x - 2)\ R \wedge (\forall y, R\ y \Rightarrow Q(y + 1))))$$

A function is represented by a value of the abstract type *Func*. The *AppReturns* operator associates a characteristic formula to each function:

$$AppReturns : \forall A, B, \ Func \rightarrow A \rightarrow (B \rightarrow \texttt{Prop}) \rightarrow \texttt{Prop}$$

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Representing functions

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application *f v* with postcondition *Q*.

Each global function definition `let rec` $f \ x = t$ introduces a fresh
constant f : *Func* and an axiom

$$\forall x, Q, \ [\![t]\!] \ Q \Rightarrow AppReturns \ f \ x \ Q$$

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Here are two plausible specifications:

$$\forall n, n \geq 0 \Rightarrow \textit{AppReturns } f\,(2 \times n)\,(\lambda v.\, v = n)$$
$$\forall n, n \geq 0 \wedge \texttt{even}(n) \Rightarrow \textit{AppReturns } f\, n\,(\lambda v.\, v = n/2)$$

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Specifying higher-order functions

A parameter f that is a function is specified via hypotheses on *AppReturns f*.

$$\texttt{let } app\, f = f\, 0$$

A specification: "if f is positive valued, then *app f* returns a positive number".

$$\forall f, (\forall x, AppReturns\, f\, x\, (\lambda v.\, v \geq 0)) \Rightarrow AppReturns\, app\, f\, (\lambda v.\, v \geq 0)$$

A more precise specification: "*app f* satisfies all the postconditions that $f\, 0$ satisfies".

$$\forall f, Q,\ AppReturns\, f\, 0\, Q \Rightarrow AppReturns\, app\, f\, Q$$

Characteristic formulas for imperative programs

The full CFML system also handles imperative ML programs (with references to mutable state).

Preconditions and posconditions use separation logic assertions *heap* → Prop instead of propositions Prop.

Characteristic formulas are no longer a weakest precondition calculus (functions postcondition → precondition), but relations between preconditions and postconditions:

$$[\![t]\!] : \underbrace{(heap \to \mathrm{Prop})}_{\text{precondition}} \to \underbrace{(\lceil \tau \rceil \to heap \to \mathrm{Prop})}_{\text{postcondition}} \to \mathrm{Prop} \quad \text{if } t : \tau$$

F*: dependent types and monads for verification

Dependent types, preconditions, postconditions

In a dependently-typed functional language (such as Agda, Coq, F*), we can write types that express both value types and logical propositions:

$$\forall x : A.\ P(x) \to B \quad \text{functions taking an } x : A \\ \text{and a proof of } P(x)$$

$$\{\, y : A \mid Q(y) \,\} \quad \text{pairs of a } y : A \text{ and a proof of } Q(y)$$

Example (a precise type for the "square root" function)

$$\forall n : \mathbb{Z},\ n \geq 0 \to \{\, r : \mathbb{Z} \mid r \geq 0 \wedge r^2 \leq n < (r+1)^2 \,\}$$

Idea: use dependent types to define a type $M\ P\ A\ Q$ of computations c of type A that satisfy the triple $\{\,P\,\}\ c\ \{\,Q\,\}$.

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For pure computations, we take

$$M\,(P : \mathtt{Prop})\,(A : \mathtt{Type})\,(Q : A \to \mathtt{Prop}) : \mathtt{Type} := P \to \{\,a : A \mid Q\,a\,\}$$

A type of Hoare triples

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For pure computations, we take

$$M\,(P : \mathrm{Prop})\,(A : \mathrm{Type})\,(Q : A \to \mathrm{Prop}) : \mathrm{Type} := P \to \{\,a : A \mid Q\,a\,\}$$

This type is a monad, with the monadic operations

$$\mathtt{ret}\ v = \lambda p.\ \langle v, p \rangle$$
$$\mathtt{bind}\ m\,f = \lambda p.\ \mathtt{let}\ \langle v, q \rangle = m\,p\ \mathtt{in}\ f\,x\,q$$

The interesting aspect of these monadic operations is their types:

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$\mathtt{ret} : \forall (A : \mathtt{Type})\, (a : A)(Q : A \rightarrow Prop),\ M\ (Q\ v)\ A\ Q$

$\mathtt{bind} : \forall (A\ B\ C : \mathtt{Type})\, (P : \mathtt{Prop})\, (Q : A \rightarrow \mathtt{Prop})\, (R : B \rightarrow \mathtt{Prop}),$

$M\ P\ A\ Q \rightarrow (\forall x : A, M\ (Q\ x)\ B\ R) \rightarrow M\ P\ B\ R$

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$\texttt{bind} : \forall (A\ B\ C : \texttt{Type})\ (P : \texttt{Prop})\ (Q : A \to \texttt{Prop})\ (R : B \to \texttt{Prop}),$
$\qquad M\ P\ A\ Q \to (\forall x : A, M\ (Q\ x)\ B\ R) \to M\ P\ A\ R$

These types correspond exactly to rules of Hoare logic
(in the style of the PTR language):

$$\{\,Q\ [\![a]\!]\,\}\ a\ \{\,Q\,\} \qquad \frac{\{\,P\,\}\ c\ \{\,Q\,\} \quad \forall x,\ \{\,Q\ x\,\}\ c'\ \{\,R\,\}}{\{\,P\,\}\ \texttt{let}\ x = c\ \texttt{in}\ c'\ \{\,R\,\}}$$

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(Nanevski *et al*, Hoare Type Theory (2006); Ynot (2008))

If *State* is the type of states, the usual state monad is

$ST\ A = State \rightarrow A \times State$ (state "before" $\rightarrow$ value, state "after")

"The" Hoare monad: mutable state

(Nanevski *et al*, Hoare Type Theory (2006); Ynot (2008))

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$ST\ A = State \rightarrow A \times State$ (state "before" $\rightarrow$ value, state "after")

The corresponding Hoare monad is

$$ST\ P\ A\ Q = \forall s : State, P\ s \rightarrow \{\ (a, s') \mid Q\ a\ s'\ \}$$

with $P : State \rightarrow \mathtt{Prop}$ and $Q : A \rightarrow State \rightarrow \mathtt{Prop}$
(assertions about the state).

ret and bind have their usual types.

We can give types to mutable state operations that correspond to the "large rules" of separation logic:

$$\texttt{get}\ \ell :$$

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$$\texttt{free}\ \ell :$$

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Imperative operations

We can give types to mutable state operations that correspond to the "large rules" of separation logic:

$$\text{get } \ell : \forall v, R, ST \ (\ell \mapsto v * R) \ \text{Z} \ (\lambda r. \ \langle r = v \rangle * \ell \mapsto v * R)$$

$$\text{set } \ell \ v : \forall R, ST \ (\ell \mapsto \_ * R) \ \text{unit} \ (\lambda\_. \ \ell \mapsto v * R)$$

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The frame rule corresponds to a retyping function:

$$\texttt{frame}\ R : STsep\ P\ A\ Q \rightarrow STsep\ (P * R)\ A\ (\lambda v.\ Q\ v * R)$$

A separation monad

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The "small rules" are here:

$$\texttt{ret}\ v : STsep\ \text{emp}\ A\ (\lambda r.\ \langle r = v \rangle)$$

$$\texttt{get}\ \ell : \forall v, STsep\ (\ell \mapsto v)\ Z\ (\lambda r.\ \langle r = v \rangle * \ell \mapsto v)$$

$$\texttt{set}\ \ell\ v : STsep\ (\ell \mapsto \_)\ \text{unit}\ (\lambda\_.\ \ell \mapsto v)$$

$$\texttt{alloc} : STsep\ \text{emp}\ \text{addr}\ (\lambda\ell.\ \ell \mapsto \_)$$

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For reference: the Ynot system of Nanevsky *et al* encodes an relational Hoare logic, where the postcondition relates the initial state and the final state:

$$STrel\ P\ A\ Q = \forall s,\ P\ s \rightarrow \{\ (a, s') \mid Q\ a\ s\ s'\ \}$$

with $Q : A \rightarrow State \rightarrow State \rightarrow \texttt{Prop}$.

Relational Hoare monad

For reference: the Ynot system of Nanevsky *et al* encodes an relational Hoare logic, where the postcondition relates the initial state and the final state:

$$\textit{STrel } P \, A \, Q = \forall s, \; P \, s \rightarrow \{\, (a, s') \mid Q \, a \, s \, s' \,\}$$

with $Q : A \rightarrow \textit{State} \rightarrow \textit{State} \rightarrow \texttt{Prop}.$

This avoids using auxiliary variables in some rules, but complicates the type of `bind`:

$$\texttt{bind} : \; \forall A, B, P_1, Q_1, P_2, Q_2,$$
$$\textit{STrel } P_1 \, A \, Q_1 \rightarrow (\forall (a : A), \textit{STrel } (P_2 \, a) \, B \, (Q_2 \, a)) \rightarrow \textit{STrel } P \, B \, Q$$

with $P = \lambda s_1. \; P_1 \, s_1 \wedge \forall a, s_2. \; Q_1 \, a \, s_1 \, s_2 \Rightarrow P_2 \, s_2$
and $Q = \lambda b, s_1, s_3. \; \exists a, s_2. \; Q_1 \, a \, s_1 \, s_2 \wedge Q_2 \, a \, b \, s_2 \, s_3.$

Summary on Hoare monads

It's the "program and verify at the same time" approach promoted by dependent types, implemented so that

- we can use effects;
- programming is done in a monadic style;
- verification is done in a Hoare logic style.

The embedding in Coq (the Ynot system) is hard to use:

- little inference of intermediate assertions;
- need retyping functions to materialize purely logical rules (consequence, frame):

$$\mathtt{cons\_pre} : (P' \rightarrow P) \rightarrow ST\ P\ A\ Q \rightarrow ST\ P'\ A\ Q$$

The F* approach

The F* language also uses dependent types to program and to verify in the presence of effects, but with a slightly different approach:

- Dijkstra monads instead of Hoare monads ($\approx$ weakest precondition calculus instead of triples).

- A custom type-checker that infers verification conditions and solves them automatically if possible.

- A hierarchy of effects and monads, making it possible to handle each part of the program with the minimum amount of effects.

Idea: for a computation c, instead of triples $\{\,P\,\}\ c\ \{\,Q\,\}$, consider the predicate transformers $W : POST \to PRE$
and the triples $\{\,W\,Q\,\}\ c\ \{\,Q\,\}$ for all postconditions Q.

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Example: the state monad.

$$PRE =$$
$$POST\ A =$$
$$TRANSF\ A =$$
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Dijkstra monads

Idea: for a computation *c*, instead of triples $\{ P \}\, c\, \{ Q \}$, consider the predicate transformers $W : POST \to PRE$ and the triples $\{ W\, Q \}\, c\, \{ Q \}$ for all postconditions *Q*.

Example: the state monad.

$$PRE = State \to \mathrm{Prop}$$
$$POST\, A = A \to State \to \mathrm{Prop}$$
$$TRANSF\, A = POST\, A \to PRE$$
$$ST\, A\, (W : TRANSF\, A) = \forall Q, s,\ W\, Q\, s \to \{\, (a, s') \mid Q\, a\, s'\, \}$$

The type *ST A W* is the type of monadic computations producing a value of type *A* and validating the "contract" *W*.

$$RET\ (v : A) : TRANSF\ A = \lambda Q.\ Q\ v$$

$$\texttt{ret}\ (v : A) : ST\ A\ (RET\ v) = \lambda Q, s, p, \langle (v, s), p \rangle$$

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For `bind`, with $W_1 : TRANSF\ A$ and $W_2 : A \rightarrow TRANSF\ B$ and $m : ST\ A\ W_1$ and $f : \forall a : A, ST\ B\ (W_2\ a)$,

$$RET\ (v : A) : TRANSF\ A = \lambda Q.\ Q\ v$$

$$\texttt{ret}\ (v : A) : ST\ A\ (RET\ v) = \lambda Q, s, p, \langle (v, s), p \rangle$$

For `bind`, with $W_1 : TRANSF\ A$ and $W_2 : A \rightarrow TRANSF\ B$ and $m : ST\ A\ W_1$ and $f : \forall a : A, ST\ B\ (W_2\ a)$,

$$BIND\ W_1\ W_2 : TRANSF\ B = \lambda Q.\ W_1\ (\lambda a.\ W_2\ a\ Q)$$

$$\texttt{bind}\ m\ f : ST\ A\ (BIND\ W_1\ W_2) = \ldots$$

The operations of the Dijkstra state monad

$$RET\ (v : A) : TRANSF\ A = \lambda Q.\ Q\ v$$
$$\texttt{ret}\ (v : A) : ST\ A\ (RET\ v) = \lambda Q, s, p, \langle (v, s), p \rangle$$

For `bind`, with $W_1 : TRANSF\ A$ and $W_2 : A \to TRANSF\ B$ and
$m : ST\ A\ W_1$ and $f : \forall a : A, ST\ B\ (W_2\ a)$,

$$BIND\ W_1\ W_2 : TRANSF\ B = \lambda Q.\ W_1\ (\lambda a.\ W_2\ a\ Q)$$
$$\texttt{bind}\ m\ f : ST\ A\ (BIND\ W_1\ W_2) = \ldots$$

Remark: the types of `ret` and `bind` always have the form above for all Dijkstra monads; only the operators *RET*, *BIND* change.

Remark: *RET* and *BIND* also form a (continuation) monad!

Operations on memory follow the same pattern:

$$GET\ \ell : TRANSF\ Z = \lambda Q, s,\ \ell \in Dom(s) \wedge Q\ (s\ \ell)\ s$$

$$\texttt{get}\ \ell : ST\ Z\ (GET\ \ell)$$

Operations on memory follow the same pattern:

$$\mathit{GET}\ \ell : \mathit{TRANSF}\ Z = \lambda Q, s,\ \ell \in \mathit{Dom}(s) \wedge Q\ (s\ \ell)\ s$$

$$\mathtt{get}\ \ell : \mathit{ST}\ Z\ (\mathit{GET}\ \ell)$$

$$\mathit{SET}\ \ell\ v : \mathit{TRANSF}\ \mathtt{unit} = \lambda Q, s,\ \ell \in \mathit{Dom}(s) \wedge Q\ ()\ s[\ell \leftarrow v]$$

$$\mathtt{set}\ \ell\ v : \mathit{ST}\ \mathtt{unit}\ (\mathit{SET}\ \ell)$$

Operations on memory follow the same pattern:

$$GET\ \ell : TRANSF\ Z = \lambda Q, s,\ \ell \in Dom(s) \wedge Q\ (s\ \ell)\ s$$

$$\mathtt{get}\ \ell : ST\ Z\ (GET\ \ell)$$

$$SET\ \ell\ v : TRANSF\ \mathtt{unit} = \lambda Q, s,\ \ell \in Dom(s) \wedge Q\ ()\ s[\ell \leftarrow v]$$

$$\mathtt{set}\ \ell\ v : ST\ \mathtt{unit}\ (SET\ \ell)$$

$$ALLOC : TRANSF\ \mathtt{addr} = \lambda Q, s,\ \forall \ell \notin Dom(s), Q\ \ell\ s[\ell \leftarrow 0]$$

$$\mathtt{alloc} : ST\ \mathtt{addr}\ ALLOC$$

Operations on memory follow the same pattern:

$$GET \; \ell : TRANSF \; Z = \lambda Q, s, \; \ell \in Dom(s) \wedge Q \; (s \; \ell) \; s$$

$$\text{get} \; \ell : ST \; Z \; (GET \; \ell)$$

$$SET \; \ell \; v : TRANSF \; \text{unit} = \lambda Q, s, \; \ell \in Dom(s) \wedge Q \; () \; s[\ell \leftarrow v]$$

$$\text{set} \; \ell \; v : ST \; \text{unit} \; (SET \; \ell)$$

$$ALLOC : TRANSF \; \text{addr} = \lambda Q, s, \; \forall \ell \notin Dom(s), Q \; \ell \; s[\ell \leftarrow 0]$$

$$\text{alloc} : ST \; \text{addr} \; ALLOC$$

$$FREE \; \ell : TRANSF \; \text{unit} = \lambda Q, s, \; \ell \in Dom(s) \wedge Q \; () \; (s \setminus \ell)$$

$$\text{free} \; \ell : ST \; \text{unit} \; (FREE \; \ell)$$

The operations of the Dijkstra state monad

Operations on memory follow the same pattern:

$$GET\ \ell : TRANSF\ Z = \lambda Q, s,\ \ell \in Dom(s) \wedge Q\ (s\ \ell)\ s$$

$$\mathtt{get}\ \ell : ST\ Z\ (GET\ \ell)$$

$$SET\ \ell\ v : TRANSF\ \mathtt{unit} = \lambda Q, s,\ \ell \in Dom(s) \wedge Q\ ()\ s[\ell \leftarrow v]$$

$$\mathtt{set}\ \ell\ v : ST\ \mathtt{unit}\ (SET\ \ell)$$

$$ALLOC : TRANSF\ \mathtt{addr} = \lambda Q, s,\ \forall \ell \notin Dom(s), Q\ \ell\ s[\ell \leftarrow 0]$$

$$\mathtt{alloc} : ST\ \mathtt{addr}\ ALLOC$$

$$FREE\ \ell : TRANSF\ \mathtt{unit} = \lambda Q, s,\ \ell \in Dom(s) \wedge Q\ ()\ (s \setminus \ell)$$

$$\mathtt{free}\ \ell : ST\ \mathtt{unit}\ (FREE\ \ell)$$

Remark: we can define get, set, ..., in accordance with our definition of
ST; but we can also leave these operations abstract, which leads to an
axiomatization of a built-in "mutable state" effect.

The Dijkstra monad for exceptions

Postconditions describe both kinds of results: normal results and exceptional results.

$$PRE = \text{Prop}$$
$$POST\ A = (A + \text{exn}) \to \text{Prop}$$
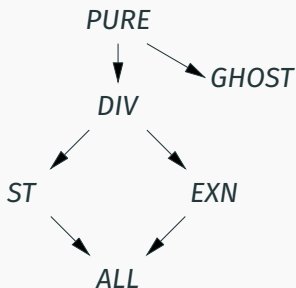$$TRANSF\ A = POST\ A \to PRE$$
$$EXN\ A\ W = \forall Q : POST\ A,,\ W\ Q \to \{\, r \mid Q\ r\,\}$$
$$RET\ v = \lambda Q.\ Q\ (\text{left}\ v)$$
$$BIND\ W_1\ W_2 = \lambda Q.\ W_1\ (\lambda r.\ \text{match}\ r\ \text{with}$$
$$\mid \text{left}\ v \Rightarrow W_2\ v\ Q$$
$$\mid \text{right}\ e \Rightarrow Q\ (\text{right}\ e))$$

A hierarchy of monads

Each arrow corresponds to a monad transformer, for example

$$EXN\ A\ W \rightarrow ALL\ A\ (EXN\_to\_ALL\ W)$$

Inferring the smallest monad = effect inference

Computations are automatically placed in the smallest monad they need.

Example: the let rule for sequencing and binding.

$$\dfrac{\begin{array}{cc} \Gamma \vdash e_1 : M_1 \; \tau_1 \; W_1 & \Gamma, x : \tau_1 \vdash e_2 : M_2 \; \tau_2 \; W_2 \\ M = M_1 \sqcup M_2 \quad W_1' = M_1\_to\_M \; W_1 & W_2' = M_2\_to\_M \; W_2 \end{array}}{\Gamma \vdash \texttt{let} \; x = e_1 \; \texttt{in} \; e_2 : M \; \tau_2 \; (M.BIND \; W_1' \; (\lambda x. \; W_2'))}$$

Summary

Summary

A nice example of program logic for a functional language: F* and its applications to the verification of cryptographic libraries.

Other approaches are possible, such as CFML and Iris.
No consensus.

Higher-order functions (`map`, `iter`, `fold`, ...) are difficult to specify, especially in conjunction with mutable state.

The "awkward example" of Pitts and Stark:

```
let awkward =
  let r = ref 0 in
  fun f -> assert (!r mod 2 = 0); incr r; f(); incr r
```

The assertion fails if awkward is applied to itself…

What specifications can we give to awkward?

References

The F* language: `https://www.fstar-lang.org/`

The CFML system: `https://www.chargueraud.org/softs/cfml/`

Functions as first-class values in separation logic:

- L. Birkedal, A. Bizjak, *Lecture Notes on Iris: Higher-Order Concurrent Separation Logic*, chapters 4 to 6.